



**Development
Research Centre
on
Citizenship,
Participation and
Accountability**

Annual Report

August 2002

Institute of Development Studies
(University of Sussex, UK)

**Bangladesh Institute of
Development Studies**
(Bangladesh)

**Centro Brasileiro de Análise e
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**Society for Participatory Research
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Development Research Centre on Citizenship, Participation and Accountability

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I. Introduction and Overview

The Development Research Centre on Citizenship, Participation and Accountability (Citizenship DRC) is a consortium formed by the Institute of Development Studies with partners from the south, supported by funding from the UK Department for International Development (DFID).

The concerns of this Centre are critical ones. If poverty is to be alleviated, new attention must be paid to the relationships between poor people and the institutions which affect their lives. To do so requires re-examining in differing contexts contemporary understandings of rights and citizenship and their implications for related issues of participation and accountability.

The Citizenship DRC addresses these issues through a combined approach of research, capacity building, dissemination and policy influence. In so doing, the Centre brings together a network that involves researchers from the Participation, Governance, Environment and Social Policy teams within the Institute of Development Studies at the University of Sussex, as well as from key research institutions in six countries. These include:

- Bangladesh - Institute of Development Studies (BIDS)
- Brazil - Centro Brasileiro de Análise e Planejamento (CEBRAP)
- India - Society for Participatory Research in Asia (PRIA)
- Mexico - Instituto de Investigaciones Sociales of the Universidad Nacional Autónoma de México (IIS/UNAM), with partners from the Universidad Autónoma Metropolitana (UAM) and the NGO network Coalición de Organizaciones para el Desarrollo Sustentable del Sur de Veracruz (CODSSV)
- Nigeria - Theatre for Development Center at Ahmadu Bello University (TFDC/ABU)
- South Africa - an interdisciplinary group from the University of Western Cape (UWC), convened by the Center for Southern African Studies, within the School of Government.

The Centre's research programme emphasises collaborative work across national, institutional and disciplinary boundaries. In all, some 50 researchers are directly involved in DRC projects, and many more academics, activists and policymakers participate in consultative groups or capacity-building and exchange programs.

Funded initially for a five-year period (2000-2005), the Citizenship DRC has based its planning on a series of two-year cycles of activity and reflection. The first of these cycles, DRC Phase II, formally began in July 2001, following receipt of contracts, and builds upon the inception period (DRC Phase I) which began in October 2000. This report covers the first year of Phase II, and therefore seeks to document the DRC's process and initial results, rather than to present definitive findings and outputs.

Despite early delays in launching the programme, since July 2001 we have seen significant accomplishments in each area of our work. These include

- *the research programme.* Some two dozen active projects are being carried out in the different partner countries and at IDS. These are clustered in three working groups on a) the meanings and expressions of rights and citizenship; b) spaces, places and dynamics of citizen participation; c) changing accountabilities and responsibilities. The first working group held an international workshop in Bangladesh, attended by all partners. The second and third working groups will meet at IDS in October, again with all partners expected to participate.
- *exchanges, capacity building and research support.* Using a philosophy of 'mutual capacity building' almost twenty research exchanges have occurred between IDS and southern partners, and amongst southern partners themselves. Seven IDS graduate students have been awarded support for research with southern partners. An active research support service has helped to provide the global network of researchers with background information, readings, and advice, communicating in English, Spanish or Portuguese, supported by an electronic researchers' forum on the DRC website.
- *dissemination and broader policy influence.* The April 2002 *IDS Bulletin on Making Rights Real: Exploring Citizenship, Participation and Accountability* brought together early research from each country, as well as contributions from several IDS researchers. Thematic working papers have been prepared for each working group, in addition to two background reviews, on concepts of citizenship and on actor-oriented approaches to human rights. Work supported by the Centre has led to some twenty further articles, seminars, book proposals, and other outputs. Dissemination activities have been carried out in every country and in a number of other international fora, with a broad range of policymakers, researchers, and practitioners. A website at IDS, linked to those of each partner (except Nigeria, whose website is still in development), also serves to disseminate information. An online resource centre on citizenship and rights-based approaches is due for launch in the last quarter of 2002.
- *partnership development, coordination and management.* Management of the programme has evolved with active participation of all institutional partners through a joint steering committee, and in each country is coordinated by country convenors, backed by an overall coordinating team at IDS. The strong interest and participation of partners and the extended network is evidenced by growing requests to participate in the international working group meetings such as those in Bangladesh in February 2002 and at IDS in October 2002. The interest in the Centre has helped to leverage additional funds from the Ministry of Health in Brazil for application of research insights to a national capacity building programme for user representatives in the health system, and from the Rockefeller Foundation for linking the programme to others working on issues of citizenship in the north.

Together we hope these activities are beginning to provide important research insights to the field of citizenship, participation and accountability in the development arena, as well as lessons on using research consortia for mutual capacity building, policy influence and partnership. The following pages provide further detail in each of these areas.

II. Research Programme

As outlined in the proposal, the Citizenship DRC's research programme aims to explore ways of addressing the growing gap between citizens and the institutions which affect their lives, contributing to development policy and practice capable of effectively supporting poor people's own efforts to transform their rights into realities. The analytical focus of the research is on three core concepts of a rights-based approach: inclusive citizenship, participation and accountability. In the component projects which make up the DRC's research programme in Phase II, these concepts are explored across a range of issues and settings, both individually and as they relate to one another.

During the Inception Phase, as participating researchers' ideas and proposals for conceptual and empirical projects were developed and refined through workshop discussions and electronic exchanges, three thematic working groups were established to build links between these projects. These working groups bring together researchers from different participating institutions around a common theme, which serves to structure exchanges, workshops and synthesis work. The three themes are:

- ❑ ***Meanings and expressions of rights and citizenship***
- ❑ ***Spaces, places and dynamics of citizen participation***
- ❑ ***Changing accountabilities and responsibilities***

During the past year these groups have become consolidated as the main focus for collaboration and exchange within the wider DRC network. The following sections provide an overview of work within each thematic group, while work linking the different themes is described in the concluding part of this section.

Theme 1: Meanings and Expressions of Rights and Citizenship

From the outset, the DRC has argued that if rights-based approaches to development are to become more grounded (and thus more operationally useful), the priority should be to examine rights and citizenship from the perspective of citizens and rights-holders themselves. Working Group 1 has therefore taken as its starting point the different meanings of rights and citizenship in differing contexts, exploring how these meanings are acted upon through political and social mobilisation, and how these are bounded by issues of knowledge and representation.

In examining these diverse perceptions, the working group has explored how citizenship rights are linked to differences in identity – be they political, social, gender-based, ethnic, or religious – and how these interplay with one another. In addition, researchers in the group have carried out conceptual review work examining the conflictual and contested history of ideas around citizenship, the ways in which “knowledge rights” are used to affect citizenship in science, environment and technology arenas and the case for an “actor-oriented” alternative to legalistic perspectives on human rights (see Table 1).

Table 1. Projects in DRC Thematic Group 1

Project	Lead researcher(s) / institution / country	Objectives	Activities / outputs to July 2002*
<i>Theme convening: Meanings and expressions of rights and citizenship</i>	Naila Kabeer, IDS, UK	To convene and support processes of conceptual and comparative exchange within the thematic working group	<ul style="list-style-type: none"> • Literature review • Concept paper • Annotated bibliography / resource pack • Organisation of working group meeting • Group meeting synthesis paper
<i>Towards an actor-oriented approach to human rights</i>	Celestine Nyamu, IDS, UK	To review the existing human rights literature and explore an alternative approach based on the perspectives of actors involved in rights struggles	<ul style="list-style-type: none"> • Literature review • Discussion paper
<i>Encountering citizens: Perceptions, realities and practices in Nigeria</i>	Oga Steve Abah & Jenkeri Okwori, TFDC, Ahmadu Bello University, Nigeria	To draw out issues that underly questions of citizenship in Nigeria, primarily through the use of Theatre for Development in Kaduna and Benue States	<ul style="list-style-type: none"> • Fieldwork • Training for local research partner CBOs • Workshops with local government officials • Methodological innovation (PLA / Theatre for Development)
<i>Identities and meanings of citizenship among Santal people in Jharkhand</i>	Nandini Sen, PRIA, India	To investigate meanings and identities of citizenship among tribal people in the newly created state of Jharkhand.	<ul style="list-style-type: none"> • Literature reviews • Fieldwork • Initial findings fed back to local NGO working with Santals
<i>Meanings and expressions of rights and citizenship amongst nomadic communities in Rajasthan</i>	Mandakini Pant, PRIA, India	To explore meanings and expressions of rights and citizenship amongst marginalised nomadic groups in Rajasthan	<ul style="list-style-type: none"> • Literature reviews • Fieldwork • Initial findings fed back to local NGO working with nomads • Findings analysed and written up during visiting fellowship at IDS
<i>Processes of empowerment in PRIA's work</i>	Mandakini Pant, PRIA, India	To synthesise insights into the nature and process of empowerment emerging from PRIA projects over the last two decades	<ul style="list-style-type: none"> • Literature and case documentation review • Drafting of synthesis paper
<i>Collective actions for economic and social rights</i>	Simeen Mahmud, BIDS, Bangladesh	To examine cases of collective action to understand how different groups define and articulate their rights to education and health	<ul style="list-style-type: none"> • Scoping research (focus group discussions on citizenship and concepts of a good society) • Fieldwork for first case study
<i>Defining citizenship in the margins: exercising electoral rights</i>	Ahmed Kamal, Dhaka University, Bangladesh	To explore understandings of citizenship and political rights amongst women voters in strongly Islamic communities and indigenous people in the Chittagong Hill Tracts	<ul style="list-style-type: none"> • Literature review • Initial fieldwork for case studies

* For further details on research outputs, see Table 6

<i>Citizenship, rights and collective action in Bangladesh</i>	Naila Kabeer, IDS, UK	To explore identities, organisations and collective action around economic rights among different urban and rural groups in Bangladesh	<ul style="list-style-type: none"> • Research assistant selection and training • Nijera Kori case study synthesis paper • Initial fieldwork for further case studies
<i>Collective actors and the structure of popular representation in Brazil</i>	Peter Houtzager, IDS, UK / Adrian Gurza Lavalle, CEBRAP, Brazil	To identify who organises, how, around what issues and based on what identities in peri-urban areas of São Paulo	<ul style="list-style-type: none"> • Conceptual framework and survey methodology development • Questionnaire-based study of local associations • Pre-testing of questionnaire for individual respondent survey
<i>Meanings and dynamics of citizenship, participation and associational life in post-apartheid South Africa</i>	Steven Robins, Bettina von Lieres & John Williams, UWC, South Africa	To explore the implications for citizenship of marginalisation, minority rights, NGO mediation and interaction with the state through case studies in Cape Town and the Northern Cape	<ul style="list-style-type: none"> • Concept papers • Fieldwork • Team seminars
<i>Citizenship, risk and environment in Southern Africa</i>	Lisa Thompson, UWC, South Africa	To explore global and local discourses on the environment and their implications for rights and participation in South Africa, Mozambique and Zimbabwe	<ul style="list-style-type: none"> • Concept paper • Collection of case material • Team seminars
<i>Citizenship, science and risk</i>	Melissa Leach & Ian Scoones, IDS, UK / Lisa Thompson, UWC, South Africa	To explore how citizenship and knowledge rights are being renegotiated in the light of environmental and technological change, and the associated shifting relationship between science and society	<ul style="list-style-type: none"> • Literature reviews • Exploratory workshop • Workshop synthesis article • International network established

There is a huge diversity of both scope and methodology among the different projects which make up this thematic group. Their scope varies from highly focused case studies to wide-ranging conceptual explorations. The methodologies used range from participatory theatre to large-scale questionnaire surveys, and reflect the diversity of disciplinary backgrounds present in the group (which include anthropology, demography, drama, economics, history, international relations, law, political science and sociology).

While the group decided at the outset against attempting to impose a single conceptual framework on this diversity, the fact that the different research projects address a number of shared core concerns has made it possible to structure a process of conceptual and comparative exchange. This process, in turn, has led to the emergence of common conceptual reference-points which are informing the final phase of field work and analysis and the development of joint dissemination outputs. The working group convenor, Naila Kabeer (IDS), is currently preparing a proposal for a book based on the ideas which emerged from the group's international meeting in Bangladesh in January-February 2002, which would include contributions from a wide range of working group members.

Naila Kabeer's convening work has also included the preparation of a background paper exploring the history of the concept of citizenship in Europe and North America and contrasting this with perspectives from South Asia and Sub-Saharan Africa. This review has revealed the highly contested nature of the concept, and highlighted the difference between problems associated with status and problems associated with practice. It has also revealed the need for a better understanding of citizenship in practice to explain the co-existence of very differing accounts of reality – from those which question the relevance of citizenship to the daily lives of the poor, to those which present evidence of unexpected achievements in relation to resources, recognition and representation. Based on this review and on the initial findings presented by working group participants, Naila Kabeer has proposed an agenda for follow-up research focusing on three sets of questions: identity and social recognition; institutions and access; and organisation and collective action.

Initial work by participants in this group has already illuminated several aspects of these themes. In Nigeria, for example, participatory research by Steve Abah, Jenkeri Okwori and colleagues from TFDC and the Nigeria Popular Theatre Alliance has powerfully documented the role of identity and indigeneity in defining citizenship and exclusion. The team have been working with twelve community-based organisations (CBOs) in research sites chosen to balance Moslem and Christian populations, majority and minority areas, against a backdrop of "violent and bloody" rights-claiming by different groups. Using an innovative combination of "citizen drama" and participatory learning and action (PLA) methods, they have elicited the perspectives of a diverse range of Nigerian citizens. Their conclusion, in the words of one fieldwork report, is that:

Nigeria is no more than geography! The real belonging is to one's ethnic group in the first instance. In the second instance people looked to religious affiliations for existential meaning. The State is third and tenuous. Nigeria has still not coalesced; indeed it is breaking apart... Citizenship in Nigeria therefore emerges as a bounded experience determined by ethnicity at birth.

Despite this bleak conclusion, the TFDC team's action research methodology has itself created opportunities for the articulation of a different approach to citizenship. The methodology includes community action planning and the facilitation of engagement between citizens and representatives of local government, both of which build on collective recognition of the issues identified during the research and commitments to follow-up action by the CBOs who are co-facilitators of the research process.

The links between identity and recognition of citizenship rights are also illuminated by work in India by Mandakini Pant (PRIA). Her research with nomadic people living in illegal shelter in Rajasthan has concluded that an essential element of the nomadic identity – the lack of a fixed address – has been used to deny them basic citizenship entitlements including drinking water, ration cards, and inclusion on voters lists. The project is also documenting the nomads' struggle to redefine themselves, developing identities that do not deny their existing histories and cultures, while asserting new rights to land ownership and control with the support of an NGO whose declared aim is to settle them.

This case raises issues around NGO representation and mediation of rights and citizenship claims which are echoed in other projects within the working group. In South Africa, Steven Robins and Bettina von Lieres (UWC) have explored how NGOs mediate the discourses of state agencies and other powerful development actors, influencing the notions of citizenship and cultural difference which are deployed within the context of land struggles in the Khomani San land claim area, adjacent to the Kalahari Gemsbok National Park in the Northern Cape Province. Conversely, Naila

Kabeer's case study of the social mobilisation strategies used by Bangladeshi NGO Nijeri Kori has highlighted the role that such 'external' actors can play in challenging long-established norms, both about the value given to the working poor as well as about the boundaries of action open to them.

In São Paulo, Brazil, extensive survey work by Peter Houtzager (IDS) and Adrian Gurza Lavalle (CEBRAP) has begun to reveal the enormous diversity of associative structures which seek to represent the claims of low-income urban residents to social inclusion, rights and resources. Initial findings from this project also demonstrate the complexity of relationships between these organisations and between the formal and informal dimensions of associative life. This matches findings from research by Nandini Sen (PRIA), which has shown that tribal people's search for citizenship and self-governance in the newly-created Indian state of Jharkhand is being mediated by a variety of groups with widely differing aims and approaches. While divisions along party lines have grown and local elites (moneylenders, middlemen) have exploited dependency relationships for political leverage, NGO-facilitated organising processes have led to the emergence of some tribal women as candidates for office in local elections.

The links between citizenship and struggles over claims to authoritative knowledge are being explored by Melissa Leach and Ian Scoones (IDS) and Lisa Thompson (UWC). This project, which situates itself in the contemporary context where understandings of citizenship and knowledge rights are being renegotiated in the light of environmental and technological change, and the associated shifting relationship between science and society, has generated an unexpectedly high level of interest and excitement amongst a broad community of researchers, policymakers and practitioners. An exploratory two-day workshop held at IDS in October 2001 served to initiate a network of approximately 30 interested academics and policy-makers. Discussions at the workshop identified a number of key issues that were elaborated in a synthesis article which has been used to stimulate further debate, leading up to a larger workshop to be held at IDS in December 2002, for which co-sponsorship has been secured from the ESRC.

This project's preliminary findings include the importance of understanding, in the context of science and technology developments, the role of what might be termed 'knowledge rights' as a candidate for inclusion together with the political, social and economic rights. Many citizens' movements are demanding the right for different forms of knowledge to co-exist, and to carry weight in the decisions that affect people's lives. Knowledge rights would not be confined to rights to 'possess' knowledge, but would encompass rights to pursue ways of life/knowledge systems as embedded in each other, and rights of cognitive representation in processes of scientific experimentation and decision-making around science/risk issues. In turn, such claiming of knowledge rights can be mutually constitutive of expressions and practices of citizenship.

Theme 2. Spaces, places and dynamics of citizen participation

As citizens articulate their identities and claims, they engage with various types of deliberative and "participatory" spaces. The second thematic working group focuses on understanding the dynamics of participation within particular spaces, and the relationships between different kinds of local institutions, actors and sectors.

Over the first year of Phase II, researchers in the group have carried out empirical work focused on state-created deliberative spaces, those arising from organising outside the state and on intermediary institutions in 'civil society' (see Table 2). The rich empirical material generated by this work offers opportunities for sharing and learning about the conditions under which these spaces can offer a real means for citizen influence as well as their constraints and limitations.

Table 2. Projects in DRC Thematic Group 2

Project	Lead researcher(s)/ institution / country	Objectives	Activities / outputs to July 2002
<i>Theme convening: Spaces, places and dynamics of citizen participation</i>	Andrea Cornwall, IDS, UK and Vera Schattan Coelho, CEBRAP, Brazil	To convene and support processes of conceptual and comparative exchange within the thematic working group	<ul style="list-style-type: none"> • Concept paper • Facilitation of electronic exchanges • Draft annotated bibliography / resource pack • Concept note for working group meeting
<i>Policy processes for environment and health issues in Brazil</i>	Vera Schattan Coelho & Ângela Alonso, CEBRAP, Brazil	To examine the functioning of deliberative planning arenas and mechanisms, the nature of participation they involve, and the outcomes they generate in the context of specific environmental and health policy processes in São Paulo.	<ul style="list-style-type: none"> • Field work • GIS database development • Data analysis • Discussion of findings with Health Ministry and Federal Environment Agency • Workshop with São Paulo Municipal Health Council
<i>Human development, sustainability and local power in Southeast Mexico</i>	Luisa Paré, IIS-UNAM, Carlos Cortez, UAM-X & Carlos Robles, CODSSV, Mexico	To explore the dynamics of participation in three protected areas in the Southeast of Mexico and in Zapatista autonomous municipalities in Chiapas.	<ul style="list-style-type: none"> • Fieldwork • Production of training materials • Team seminars • Workshops with indigenous community organisers in Chiapas • Discussion of findings with regional NGO coalition
<i>Making councils real</i>	Jutta Blauert, IDS, UK	To understand how and why multiple stakeholder institutions within the environmental policy arena in Mexico are or are not effective spaces for articulation of citizenship rights, and for influencing policy processes.	<ul style="list-style-type: none"> • Preparatory meetings • Case study identification
<i>Linkages, Conflicts and Dynamics between Traditional, Development and Statutory Decentralised Local Bodies.</i>	Ranjita Mohanty, PRIA, India	To explore linkages, conflicts and dynamics that exist between traditional, statutory and project-based decentralised 'participatory' spaces within the context of forest management in Uttaranchal, India.	<ul style="list-style-type: none"> • Literature review • Field work • Initial synthesis of findings during Visiting Fellowship at IDS
<i>Participation in policy processes: environment, health and education</i>	Andrea Cornwall, Ian Scoones, Ramya Subrahmanian & Alex Shankland, IDS, UK	To carry out a comparative review of mechanisms, models and dynamics of public involvement in environment, health and education policy processes, exploring issues of knowledge, representation, agency and legitimacy.	<ul style="list-style-type: none"> • Team workshops • Conceptual framework developed for cross-sector comparison • Identification of case material • Drafting of sectoral reviews

As co-convenors of this working group, Andrea Cornwall (IDS) and Vera Schattan Coelho (CEBRAP) have worked on concept notes proposing a common set of questions to inform research on the theme of spaces and places of citizen participation. This has drawn on literature review work by both convenors and has included the drafting of a conceptual paper by Andrea Cornwall which aims to inform a perspective on participation that is more nuanced and realistic about questions of agency and power. Making connections with literatures and debates outside the field of development studies – such as actor-network theory, recent political science debates on deliberative democracy and work reconsidering the contributions of earlier civic republican political theorists to shaping emerging forms of democratic practice – this conceptual work complements empirical research that is able to reach more deeply into analysing the conditions under which equitable, inclusive participation can really take place, as well as situating participatory practices on a broader political canvas.

Two projects examining sectoral policy processes at different levels illustrate this approach. In Brazil, Vera Schattan Coelho and Ângela Alonso (CEBRAP) are studying deliberative arenas for specific environmental and health policies in São Paulo, exploring both the effectiveness of these spaces in terms of their influence on the decision-making processes and the extent of their inclusiveness and capacity to represent the interests and opinions of poorer groups. Their findings point to the fact that despite the effort to build new spaces for a broader participation of civil society in Brazil, strong barriers to the participation of the poorer sectors of society in these deliberative fora remain. Further research is exploring the contribution of access to information, selection procedures and institutional 'rules of the game' to maintaining these barriers, with the aim of identifying entry points for efforts to overcome them.

At IDS, Andrea Cornwall, Melissa Leach, Ian Scoones, Ramya Subrahmanian and Alex Shankland have been working on a comparative review of mechanisms and models for public involvement in policy processes in environment, health and education, exploring constructions and dynamics of citizenship in these spaces and places. Comparison and contrast of public involvement in health, education and environment policy processes has yielded other kinds of insights, into the nature of public goods, their regulation and management, and into the ways in which constructions of the public and their capabilities condition the form, shape and scope of participation.

In Mexico, Carlos Cortés (UAM-X) has linked conceptual exploration of the strategies for participation used by different social actors with empirical work as part of an action-research process with indigenous community workers in Chiapas. His conceptual framework, contrasting "resistance", "strategic participation" and "instrumental participation", has been grounded and explored in relation to the contrasting strategies used by different actors in Zapatista "autonomous municipalities" and in neighbouring non-Zapatista communities. Carlos Cortés and the UAM team have now begun further work which moves beyond the local level to examine spaces for participation in regional development plans, including the *Plan Puebla-Panama* (PPP) megaproject. This examination of participation in the PPP policy process links up with work by the other members of the DRC Mexico Working Group, Luisa Paré (IIS-UNAM) and Carlos Robles (CODSSV) in the neighbouring state of Veracruz, as well as with work by Jutta Blauert (IDS) on regional development councils.

In India, Ranjita Mohanty (PRIA) has carried out extensive fieldwork in seven villages in Uttaranchal to explore linkages, conflicts and dynamics that exist between three different types of decentralised and 'participatory' spaces – traditional, statutory and project-based – within the context of forest management. This work has revealed the extent to which 'participation' can be conflated with the provision of labour in donor-funded initiatives and the potential for externally-imposed 'participatory' processes to

generate division and mistrust and deepen the exclusion of less powerful groups. However, the preliminary findings of this research also suggest that the agency of those responsible for establishing and maintaining the 'rules of the game' in these new participatory spaces can be an important factor, as in some villages a commitment to transparency and non-discrimination has enabled them to begin to gain social legitimacy and public trust.

All this empirical work addresses in some way what impact these new spaces for citizen involvement actually have on inclusion, accountability and voice. All offer insights into what kinds of decisions particular kinds of spaces might be best for. They also provide a starting point for a broader comparative analysis that explores links between described outcomes and constitutional and legal provision, the current regime/ruling party, the machinery of government, the nature of associative life, and the decision-making/consultative institutions that already exist in the different DRC countries.

In sum, work on 'spaces and places' of participation is highlighting the challenges inherent in efforts to realise the potential of these processes to promote citizen voice and more inclusive participation, questioning the simplistic assumptions which lie behind many ready-made solutions for opening spaces of participation. This group's research is throwing up important insights into the conditions under which opportunities for citizen participation can be made the most of, alongside those in which tokenism and manipulation continue to characterise what is done in the name of public involvement.

Theme 3: Changing Accountabilities and Responsibilities

The third theme has focused on the accountabilities and responsibilities that emerge with changing meanings and spaces of citizenship. As per the DRC project design and proposal, this group has involved fewer projects than themes one and two. As work goes on unfolding, it is raising and sharpening issues of accountability that will be explored in more depth in the following phase.

Table 3. Projects in DRC Thematic Group 3

Project	Lead researcher(s)/ institution / country	Objectives	Activities / outputs to July 2002
<i>Theme convening: Changing accountabilities and responsibilities</i>	Peter Newell, IDS, UK	To convene and support processes of conceptual and comparative exchange within the thematic working group	<ul style="list-style-type: none"> • Concept paper • Facilitation of electronic exchanges • Exchange visits to partners' research sites
<i>Multi-Party accountability for environmentally sustainable industrial development</i>	Harsh Jaitly, PRIA, India	To carry out an action-based research process designed to promote new processes of multi-part accountability for sustainable industrial development in the Lote-Parshuram Industrial Area of Chiplun, Maharashtra	<ul style="list-style-type: none"> • Literature review • Field work • Draft papers • Workshops • Extension of action research process to new site in Andhra Pradesh
<i>Accountability and participation in sustainable development processes: experiences from Southeast Mexico</i>	Luisa Paré, IIS-UNAM, & Carlos Robles, CODSSV, Mexico	To examine multi-stakeholder accountability issues in local sustainable development initiatives in the context of the <i>Plan Puebla Panama</i> (PPP) regional development megaproject	<ul style="list-style-type: none"> • Literature reviews • Policy process monitoring via participation in PPP fora and workshops • Field work for ecotourism and water management case studies

<i>Whose accountability? Indigenous organisations, corporations and geopolitical interests in the arena of bioprospecting</i>	Jutta Blauert, IDS, UK	To examine accountability issues with respect to recent cases of 'bioprospecting' in Mexico and Central America.	<ul style="list-style-type: none"> • Case study research by DRC Intern Alexandra Hughes • Interviews with key actors in bioprospecting debates • Preparatory discussions for regional workshop
<i>Corporate accountability: bridging theory and practice</i>	Peter Newell, IDS, UK	To map competing conceptual notions of citizenship and accountability as applied to the private sector, and to identify strategies that might be adopted by the poor themselves to create mechanisms of answerability regarding investments which affect their livelihoods.	<ul style="list-style-type: none"> • Literature review • Concept paper • Development of database of case material from North and South

As convenor of this thematic working group, Peter Newell (IDS), has prepared a background paper (entitled 'Mapping Accountability: origins, contexts and implications for development') which has provided an historical review of different conceptual approaches to thinking about accountability, and examined the way different actors have applied the concept, and the way their strategies have been invoked to provide new relationships of accountability. Peter Newell's background research on accountability has also manifested itself in an examination of notions and practices of 'corporate citizenship' in relation to broader debates about corporate accountability for development. He has also begun work on a paper on bottom-up approaches to corporate accountability, drawing on case studies and materials drawn from a variety of sectors and regions of the world. This review work has yielded fascinating insights into the diverse ways in which people are developing creative forms of bottom-up accountability aimed at holding companies to account for their social and environmental responsibilities. This has been supplemented with insights gained on research visits to Chiplun, India and Veracruz, Mexico, where Peter Newell has worked with DRC partners who are exploring accountability issues in their research projects.

In India, Harsh Jaitly (PRIA) has led an action-based research process designed to promote new processes of multi-party accountability for sustainable industrial development in the Lote-Parshuram Industrial Area of Chiplun, Maharashtra, using public hearings, 'peoples' development plans', and multiparty dialogues. Findings have revealed the extent to which differences in assumptions, expectations and values between different actors can undermine the potential for achieving multi-stakeholder accountability, while the project itself has demonstrated the potential for NGO-facilitated dialogue processes to improve communication and negotiation. The PRIA team have brought together a network of researchers and activists to develop this approach further, convening a series of successful workshops in Delhi, Maharashtra and Andhra Pradesh.

In Mexico, two research projects centre around accountability issues: work by Luisa Paré (IIS/UNAM) and Carlos Robles (CODSSV) on sustainable development initiatives, and by Jutta Blauert (IDS) on bioprospecting. The first project examines accountability issues at both the micro and macro levels, through local case studies and regional policy process research. The context of this research is dominated by the Plan Puebla Panama (PPP) mega-project – an initiative presented as a solution to poverty problems in Southern Mexico and Central America. Luisa Paré and Carlos Robles are looking at ways in which indigenous peoples face the challenge of participating in such a project without losing control over their natural resources, culture or identity.

The case studies explore the potential of processes such as forestry certification, environmental services payment negotiations and ecotourism to function as mechanisms for negotiating new terms of accountability with powerful state, market and civil society actors. Luisa Paré has systematised findings from different community-based ecotourism projects, with the intention of analysing the internal criteria and practices that might potentially replace external certification measures. Carlos Robles has studied an indigenous community with a long history of struggling to assert control over local water resources in the face of pressure from industrial users, which is now trying to move to a negotiated system based on supply guarantees and payments for environmental services. The initial findings from this case study have highlighted the challenge of establishing mutual accountability in the context of unequal power relations and a legacy of conflict and mistrust, while suggesting that such innovative processes can offer a way forward. These micro-level strategies are in turn examined in relation to wider processes of participation and accountability within the context of the PPP, focusing on the links (and disjunctures) between community-level initiatives and social movement responses to the mega-project.

Jutta Blauert's project focuses on recent cases of 'bioprospecting' in Mexico and Central America, which have brought into question the actions of multinational pharmaceutical corporations, universities as well as intermediary NGOs and community-based organisations. Fieldwork carried out in Oaxaca by a DRC intern, Alexandra Hughes, points to the multifaceted and multi-institutional dimension of accountability, and to diverse understandings of bioprospecting and of appropriate common resource management practices in the context of biodiversity conservation. In this context, research findings challenge 'homogeneous' understandings of civil society and oversimplified perceptions of rights. These difficult questions cannot be ignored in debates on how citizens participate in claiming their rights, and in holding corporate and state actors accountable.

Linkages between themes

The preceding sections have provided an overview of work on each of the three core themes for Phase II of the Citizenship DRC. However, the links between themes are clearly also important. The DRC's research agenda is based on an understanding that as rights and citizenship are expressed, they often enter more institutional arenas or spaces for participation, many of which involve innovative approaches to more deliberative and inclusionary forms of policy making and democratic governance. Changing understandings of rights and new arenas of participation in turn lead to a reconsideration of traditional relationships of accountability and responsibility amongst actors across differing spheres and levels.

To this end, in addition to the work carried out within the groups on deepening understandings of the issues highlighted by each theme, participating researchers are also exploring the links between themes. Early in Phase II, John Gaventa and Emma Jones (IDS) produced an annotated bibliography on concepts of citizenship, exploring the links between these concepts and issues of accountability and participation. John Gaventa and Rajesh Tandon (PRIA) have both written conceptual review pieces exploring the links between citizenship, participation and accountability (see *IDS Bulletin* Vol. 33 No. 2).

Researchers working within the different thematic groups have also paid explicit attention to the connections between themes; for example, Ramya Subrahmanian (IDS) has explored the links between rights and participation in education policy processes, and Luisa Paré (IIS-UNAM) and Carlos Robles (CODSSV) have highlighted the inter-relationships between participation and accountability in their case study work in Mexico.

Insights emerging from the different working groups have also been shared more widely through cross-group participation in workshops, through distribution of concept papers and through electronic exchanges in the "Researchers' Area" of the Citizenship DRC website (see Section III below). While the aim of the Phase II research programme is to develop a deeper and more grounded understanding of each concept and its implications for development, this process is laying the foundations for focused comparative exploration of the interrelationships between citizenship, participation and accountability in Phase III.

III. Exchanges, capacity building and research support

As described in the previous section, development of the Citizenship DRC as a network of researchers has focused on the consolidation of the thematic working groups. Each group has established its own convening structure and agenda for conceptual and comparative discussion and exchange. International working group meetings provide the most important space for these exchanges. The Working Group 1 meeting, which took place in Bangladesh in January/February 2002, demonstrated the immense value of bringing together researchers from all the DRC country teams to share their perspectives on a common research theme. This process has enabled an exchange of insights across linguistic and disciplinary boundaries, which in turn has contributed to the development of common conceptual reference-points to underpin comparative analysis and joint publications. Continuing this process, international meetings of Working Groups 2 and 3 will be hosted by IDS in October 2002.

Conceptual and comparative exchanges are also facilitated through working group e.mail lists / e-fora. These are hosted in a dedicated area of the DRC website where concept notes, research outlines, reports and draft papers are posted for discussion and feedback. Each of the more than 50 researchers working on Citizenship DRC projects worldwide has a primary working group affiliation, which is established according to the thematic focus of his/her project(s). In addition, each researcher can access the discussion and work in progress pages of the other groups through the password-protected "Researchers' Area" of the DRC website. This site-within-a-site also serves as a bulletin board with general DRC news, contact information and documents / online resources sent in by DRC researchers or identified by the research support unit.

Developing a responsive research support service

IDS hosts the DRC research support unit, which is staffed by the Research Manager and a full-time Research Assistant. During the past year, this unit has consolidated its role as a responsive provider of on-demand assistance to researchers throughout the DRC network. The team are able to draw on the excellent bibliographical resources available at Sussex (including the British Library for Development Studies and the University of Sussex Library) to respond to e.mail requests for literature searches from DRC researchers who have limited access to relevant books and articles in their own institutions. As DRC Research Manager Alex Shankland speaks Portuguese and the DRC Research Assistant (Joanna Howard to April 2002, succeeded by Alexandra Hughes in May 2002) speaks Spanish, the unit is also able to respond to queries and translation requests from Brazilian and Mexican colleagues who wish to exchange ideas with other DRC researchers but have difficulty participating in debates in English. The Research Assistant also manages a dedicated bibliographical resource collection and database, which currently has almost 900 entries. This resource centre has been used to respond to requests for literature searches from DRC researchers in Bangladesh, Brazil, India and Mexico, as well as from Visiting Fellows, IDS students and DRC theme convenors, and has proved especially valuable for preparing reading packs for the working groups. At the February 2002 Steering Committee meeting, the partner institutions agreed in principle to create satellite DRC resource centres in the different countries, serving their local networks of colleagues and partners working on DRC-related issues (such as the Schools of Social Work network which PRIA is supporting in India).

Researchers from the different country groups have also been enabled to learn from colleagues in other countries through the Citizenship DRC exchange visits and Visiting Fellowships programmes. This period saw Indian DRC researchers visiting Nigeria and Bangladesh, Mexican, Bangladeshi, Nigerian and South African DRC researchers visiting

India, IDS-based DRC researchers visiting Brazil, Bangladesh and India, and South African, Bangladeshi and Indian DRC researchers taking up Visiting Fellowships at IDS. As well as discussions of current research themes and potential collaborative initiatives for Phase III of the DRC, these visits have included joint fieldwork, training activities and workshops or seminars at host institutions.

Table 4 Citizenship DRC exchange visits and Visiting Fellowships to July 2002

Researcher (institution, country)	Date	Destination	Activities
Steven Robins (UWC, South Africa)	May 2001	IDS, UK	<ul style="list-style-type: none"> • Discussions on NGO accountability work • Seminar
Chandan Datta (PRIA, India)	August 2001	Nigeria	<ul style="list-style-type: none"> • Training course participation • Exchange of experience on local governance work • Field visits
Chandan Datta (PRIA, India)	September 2001	IDS, UK	<ul style="list-style-type: none"> • Discussions on rights & citizenship work • Seminar
Lisa Thompson (UWC, South Africa)	October 2001	IDS, UK	<ul style="list-style-type: none"> • Discussions on science & citizenship work • Workshop participation • General discussions of DRC strategic direction
Harsh Jaitly (PRIA, India)	November 2001	IDS, UK	<ul style="list-style-type: none"> • Discussions on accountability theme work
Andrea Cornwall (IDS, UK)	December 2001	Brazil	<ul style="list-style-type: none"> • Discussions on spaces & places of participation theme work
Mandakini Pant and Nandini Sen (PRIA, India)	February 2002	Bangladesh	<ul style="list-style-type: none"> • Discussions on rights & citizenship work • Workshop participation
Celestine Nyamu (IDS, UK)	February 2002	Bangladesh	<ul style="list-style-type: none"> • Seminar • Discussions on gender and land rights work
Steve Abah and Jenks Okwori (TFDC, Nigeria)	February 2002	India	<ul style="list-style-type: none"> • Discussions on rights & citizenship work • Workshop participation • Theatre methodology training • Field visit
Carlos Cortés (UAM, Mexico)	February 2002	India	<ul style="list-style-type: none"> • Discussions on rights & citizenship work • Workshop participation • Field visit
Rebecca Milton (BIDS, Bangladesh)	February 2002	India	<ul style="list-style-type: none"> • Discussions on rights & citizenship work • Workshop participation • Field visit
Steven Robins and John Williams (UWC, South Africa)	February 2002	India	<ul style="list-style-type: none"> • Discussions on rights & citizenship work • Workshop participation • Field visit
Peter Newell (IDS, UK)	February 2002	India	<ul style="list-style-type: none"> • Workshop participation • Discussions on accountability theme work • Field visits
Steve Abah and Jenks Okwori (TFDC, Nigeria)	February 2002	IDS, UK	<ul style="list-style-type: none"> • Discussions on rights & citizenship work • General discussions of DRC strategic direction
Ranjita Mohanty (PRIA, India)	April-June 2002	IDS, UK	<ul style="list-style-type: none"> • Discussions on spaces & places of participation work • Writing up research • Seminar • Workshop participation
Mandakini Pant (PRIA, India)	May-June 2002	IDS, UK	<ul style="list-style-type: none"> • Discussions on rights & citizenship work • Writing up research • Workshop participation
Shireen Huq (Naripokkho, Bangladesh)	May-July 2002	IDS, UK	<ul style="list-style-type: none"> • Discussions on rights & citizenship work • Writing up research • Workshop participation
Rajesh Tandon (PRIA, India)	June-July 2002	IDS, UK	<ul style="list-style-type: none"> • Seminar • General discussions of DRC strategic direction

Citizenship DRC researchers from Bangladesh and India taking up Visiting Fellowships at IDS in May-June 2002 were also able to share insights and experiences with a group of practitioners from India, Nepal, Burma, Nigeria, Kenya, Zambia and Peru, who were at IDS for the Participation Group Learning Fellowships Programme on Citizen Participation in Human Rights Advocacy. This programme concluded with a very successful workshop on participation and rights-based approaches which brought together the Learning Fellows, DRC Visiting Fellows, IDS and University of Sussex researchers and representatives of international NGOs, donor agencies and other UK-based research institutions.

In addition to conceptual and comparative discussions, these exchanges have also included extensive discussion of methodological issues and sharing of innovative approaches to research on issues of rights and citizenship. These range from the participatory theatre work developed by the Nigeria team (shared at a mini-training session with participants in PRIA's international workshop on "researching citizenship") to the sophisticated survey techniques used by Peter Houtzager of IDS and Adrian Lavallo of CEBRAP in their project on collective actors and the structure of popular representation in Brazil (shared via the posting of a short paper and sample questionnaires on the discussion pages of the DRC website "Researchers' Area"). A session on research methodologies was also included in the Bangladesh working group meeting, and additional sessions have been scheduled for the DRC meetings in October 2002. This process of methodological discussion and exchange has generated a number of specific ideas for follow-up (including joint publications and an international workshop), which will be incorporated into the planning process for Phase III.

A further important element of the DRC's programme of "mutual capacity-building" has been the programme of internships and research support grants which has enabled students from the IDS Masters programmes to work with and learn from DRC partners.

Table 5 Citizenship DRC internships and student research grants to July 2002

Grant recipient	Date	Destination	Activities / outputs
Alexandra Hughes	June – July 2001	Mexico	<ul style="list-style-type: none"> • Research on NGO accountability and bioprospecting • Paper published in <i>IDS Bulletin</i>
Nkoyo Toyo	July – August 2001	Nigeria	<ul style="list-style-type: none"> • Research on citizenship and politics of resource allocation • Paper forthcoming in edited volume produced by Nigeria DRC team
Mariana Cifuentes Montoya	August – October 2001	Brazil	<ul style="list-style-type: none"> • Research assistance on CEBRAP DRC project on participation in local health policy processes • Co-authorship of paper for <i>IDS Bulletin</i>
Barbara Pozzoni	June – July 2002	Brazil	<ul style="list-style-type: none"> • Research on capabilities for citizen participation in deliberative processes • Report and paper forthcoming
Oriol Mirosa Canal	June – August 2002	Mexico	<ul style="list-style-type: none"> • Research assistance on UAM-X participatory evaluation of government development policy in Chiapas conflict area • Report and paper to follow

Two further internships have been approved for the period to March 2003. Emma Williams will visit Bangladesh to work with researchers from BIDS and Dhaka University on their DRC projects on social rights and political participation. Niamh Garvey will visit India to work with PRIA on local processes for multi-stakeholder accountability. In addition, the DRC has provided small grants to Alejandro von Bertrab and Mariana Cifuentes Montoya for further work with DRC partners in Mexico and Brazil.

IV. Dissemination and Broader Policy Influence

In this first year of Phase II, the DRC's dissemination strategy has emphasised networking and ongoing engagement with academics, policymakers and practitioners rather than set-piece events to promote specific outputs. This is in line with the approach outlined in the proposal for future work approved by DFID in June 2001. As noted in that proposal, the strategy has benefited from the capacity of DRC partners to bring together researchers, policymakers and practitioners from other institutions in their home countries in a process of ongoing exchange and debate around their research projects and the broader work of the DRC. Examples include the seminar series with academics and parliamentarians convened by the UWC team in South Africa, the collaboration programme developed by PRIA with Schools of Social Work in India, the active programme of civil society networking carried out by the Mexico team, and the debates with community-based organisations and local government representatives facilitated by the Nigeria team as part of their research process.

Efforts have also been made to link internal DRC events with opportunities for engagement with researchers, policymakers and practitioners in the host countries. The Working Group 1 meeting in Bangladesh in January-February 2002 included a successful "conference day" in which work in progress was shared with over 30 representatives of local universities, donor agencies and NGOs. Similarly, when PRIA hosted DRC workshops in Delhi on "researching citizenship" and "multiparty accountability" in February 2002, these included valuable opportunities for international DRC researchers to discuss their approaches and findings with representatives of Indian NGOs and schools of social work, in settings which included both seminar sessions and a field visit.

Exchange visits by IDS-based DRC researchers have also routinely included opportunities to discuss the DRC's agenda with local representatives of international donor agencies, including advisors in the DFID offices in Delhi, Dhaka, Abuja, Brasília and London. In June 2002, for example, DRC Director John Gaventa co-facilitated a two-day staff retreat for the Social Development Department at DFID London on how concepts of citizenship and participation might affect the way they worked as a team. Conversely, IDS-based DRC researchers have had extensive discussions with donor agency representatives who have visited IDS. Examples include John Gaventa's workshop session in April with Sida Advisors attending an IDS short course on Poverty and Aid, and the well-received presentation given by John Gaventa, Melissa Leach, Peter Newell and Alex Shankland in June to the IDS Governing Body (which includes representatives of DFID, Sida and the World Bank, among others).

In some countries, initial contacts with policymakers to discuss DRC projects have rapidly evolved into direct engagement with policy processes. After organising a seminar for policymakers in Chiapas on rights and participation, the UAM team were asked by the recently-elected State Government to lead a participatory evaluation of integrated development policy in the conflict zone on which their DRC study is focusing. In Brazil, following discussion of their project with Health Ministry officials the CEBRAP team researching participation in health policy processes were asked to coordinate a major evaluation of the national training programme for user representatives on the country's 5,000 municipal health councils. Policymakers have also called DRC researchers in for specific advice based on their initial findings: for example, the CEBRAP researchers working on the DRC project on participation and environmental governance were asked to travel to Brasília and give a seminar based on their initial findings to the Director of the federal environment agency and a group of senior technical staff responsible for review of environmental licensing processes.

Spreading the word: conference participation for diversified dissemination

Citizenship DRC researchers have also used participation in regional, national and international conferences to raise awareness of the Centre's themes and to engage with very diverse groups of academics and practitioners. In the past year, DRC researchers have presented their work at a huge range of conferences, with publics ranging from UK-based academics and development policymakers (the Development Studies Association conference in Manchester), to US-based researchers and practitioners working on deliberative democracy (the AmericaSpeaks conference in Virginia), to international donor agency and civil society representatives working on governance issues (the British Council conference on Accountability, Governance and Corruption in the Philippines), to international researchers and practitioners working on civil society, citizenship and participation (the International Society for Third-Sector Research conference in Cape Town and the PRIA conference on "Governance as if People Mattered" in Delhi), to US-based and Brazilian academics specialising in the study of civil society (the CEBRAP/ILAS conference in São Paulo), to local health practitioners and community organisers in Northeastern Brazil (the DFID-sponsored "Health and Social Participation" conference in Fortaleza, Ceará). This engagement has enabled DRC researchers both to garner initial feedback for incorporation into subsequent research and synthesis and to extend the range of networks with which the DRC is linking, building a receptive public for future dissemination outputs.

The growing international interest in the DRC's agenda has led to a decision to invest in the establishment of a major online resource centre designed to support researchers and practitioners working on issues relating to the themes of the DRC. This initiative, which was approved by the Steering Committee in February 2002 (see next section), has enabled the Citizenship DRC to link up with the Eldis development information service, the IDS Participation Group and the Ford Foundation-supported LogoLink network on citizen participation in local governance to create a broad range of linked databases and other online resources. This resource centre, which is currently in the technical design and testing phase, is expected to go live in the second half of 2002. It will enable the Citizenship DRC not only to reach a much larger range of academic, policy and practitioner audiences through dissemination of outputs in electronic format, but also to provide a service to the wider community of individuals and organisations working on the challenge of operationalising rights-based approaches to development, who currently have access to few if any comprehensive guides to cutting-edge policy and practice in the field.

This resource will build on the initiatives already undertaken by some DRC partners (notably IDS and PRIA) to make initial outputs from DRC research available online. One example is the IDS Development Bibliography on *Concepts of Citizenship* by Emma Jones and John Gaventa, which has been posted to the IDS website in downloadable PDF format. The DRC has also agreed to provide partners with support in extending their web capacity to facilitate electronic dissemination of their DRC outputs and to make comprehensive information on their DRC projects available online in different languages.

Although the emphasis in this phase has been on networking and engagement rather than production and distribution of outputs, the past year has seen DRC researchers producing a wide range of papers and publications. In keeping with the DRC's approach of decentralised dissemination, these have been shared both through IDS and through partners in the South. One major collective output has been the special DRC edition of the *IDS Bulletin*, entitled "Making Rights Real: Exploring Citizenship, Participation and Accountability" (Vol. 33 No. 2, April 2002), which included contributions from every DRC country group as well as from eight IDS-based researchers. In addition, participating researchers have produced a very wide range of individual and joint papers, publications and conference/seminar presentations, which are listed in the Table below.

Table 6 DRC dissemination outputs to June 2002

Author (Institution, Country)	Type of output	Title	Where published/presented
Oga Steve Abah et al. (TFDC/ABU, Nigeria)	Book (with eight background papers)	<i>Exploratory Thoughts on Citizenship, Participation and Accountability in Nigeria</i>	Forthcoming, September 2002
Angela Alonso and Valeriano Costa (CEBRAP, Brazil)	Conference paper	<i>Perceptions of the environment and political mobilisation in Rio and São Paulo: a comparative analysis</i>	II ILAS-Cebrap Conference, São Paulo, March 2002
Angela Alonso and Valeriano Costa (CEBRAP, Brazil)	Conference paper	<i>The making of citizenship meanings in environmental conflicts</i>	Conference of the International Society for Third-Sector Research (ISTR), Cape Town, July 2002
Andrea Cornwall (IDS, UK)	Journal article	<i>Locating Citizen Participation</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
Andrea Cornwall (IDS, UK)	Working paper	<i>Making Spaces, Changing Places: Situating Participation in Development</i>	IDS Working Papers series
Carlos Cortéz (UAM-X, Mexico)	Conference paper	<i>NGOs and society: Looking for new routes</i>	<i>MOST-UNESCO Program Regional Seminar</i> , Montevideo, Uruguay, November 2001
Carlos Cortéz (UAM-X, Mexico)	Video	<i>Women's perceptions of their human rights</i>	Shown at seminars and community meetings in Mexico City and Chiapas
Carlos Cortéz et al. (UAM-X, Mexico)	Website with online publications and image gallery	<i>Human development in Chiapas research program</i>	Featured programme on UAM website, linked to IIS-UNAM DRC page and main DRC site
John Gaventa (IDS, UK)	Article	<i>Introduction: exploring citizenship, participation and accountability</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
John Gaventa (IDS, UK)	Conference paper	<i>Citizenship, Participation and Local Governance</i>	Conference of the International Society for Third-Sector Research (ISTR), Cape Town, July 2002
John Gaventa (IDS, UK)	Conference paper	<i>Towards Participatory Citizenship</i>	PRIA 20 th Anniversary Conference on 'Governance as if People Mattered', Delhi, February 2002
Emma Jones and John Gaventa (IDS, UK)	IDS Development Bibliography	<i>Concepts of Citizenship: A Review</i>	IDS Development Bibliographies series

Naila Kabeer (IDS, UK)	Journal article	<i>Citizenship, Affiliation and Exclusion: Perspectives from the South</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
Naila Kabeer (IDS, UK)	Working paper	<i>Citizenship and the boundaries of the acknowledged community: identity, affiliation and exclusion</i>	IDS Working Papers series
Melissa Leach (IDS, UK)	Workshop presentation	<i>Reflections on publics, science and risk in international perspective</i>	<i>Risk: Science, policy and the people</i> workshop, Centre for Environmental Research, University of Sussex, September 2001
Melissa Leach, Ian Scoones (IDS, UK) and Lisa Thompson (CSAS/SOG, UWC, South Africa)	Journal article	<i>Citizenship, science and risk</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
Simeen Mahmud (BIDS, Bangladesh)	Journal article	<i>Making Rights Real in Bangladesh through Collective Citizen Action</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
Simeen Mahmud (BIDS, Bangladesh)	Paper	<i>An Anatomy of Collective Action</i>	BIDS Research Reports Series
Peter Newell (IDS, UK)	Journal article	<i>From Responsibility to Citizenship? Corporate Accountability for Development</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
Peter Newell (IDS, UK)	Working paper	<i>Mapping Accountability: Origins, Contexts and Implications for Development</i>	IDS Working Papers series
Peter Newell (IDS, UK)	Workshop paper	<i>Globalisation and Accountability: New roles for states, corporations and communities</i>	<i>Accountability, Corruption and Governance</i> , British Council workshop, Philippines
Peter Newell (IDS, UK)	Workshop paper	<i>Multi-party accountability</i>	<i>Multiparty accountability for sustainable industrial development</i> workshop, Delhi, February 2002
Celestine Nyamu (IDS, UK)	Working paper	<i>Towards an actor-oriented approach to human rights</i>	IDS Working Papers series
Mandakini Pant (PRIA, India)	Review paper	<i>Processes of Empowerment</i>	Forthcoming as PRIA Synthesis Research Paper
Mandakini Pant (PRIA, India)	Conference paper	<i>The Changing Identities and Meanings of Citizenship</i>	Conference of the International Society for Third-Sector Research (ISTR), Cape Town, July 2002

Luisa Paré and Carlos Robles (IIS-UNAM and CODSSV, Mexico)	Seminar paper	<i>Ecotourism as conservation strategy and community participation</i>	International Seminar on Sustainable development, community participation and Biodiversity conservation in Mexico, San Luis Potosi, November 2001
Luisa Paré, Carlos Robles and Carlos Cortez (IIS/UNAM, CODSSV, UAM-X, Mexico)	Journal article	<i>Participation of indigenous and rural people in the construction of developmental and environmental public policies in Mexico</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
Steven Robins (UWC, South Africa)	Journal article	<i>NGOs, 'Bushmen' and Double Vision: The Khomani San Land Claim and the Cultural Politics of 'Community' and 'Development' in the Kalahari</i>	<i>Journal of Southern African Studies</i> , Volume 27, Number 4, December 2001
Steven Robins (UWC, South Africa)	Journal article	<i>Global Warnings: urban governance in the Cape of Storms</i>	<i>Third World Quarterly</i> , Vol. 23(4) 2002
Steven Robins (UWC, South Africa)	Book chapter	<i>"A house is much more than bricks and mortar": Housing citizens in the Cape of Storms</i>	Ossenbrugge, Jurgen and Christoph Haferburg (eds), Cape Town – Ten years after: The Spatial Form of Socio-Political Change , forthcoming.
Steven Robins (UWC, South Africa)	Seminar paper	<i>Land, livelihoods and citizenship after apartheid: Rethinking the role of 'civil society</i>	DRC CSAS/SOG Work-in Progress Seminar, Cape Town, August 2001
Steven Robins (UWC, South Africa)	Seminar paper	<i>The Politics of Housing and Citizenship in Manenberg, Cape Town</i>	Department of Government and Administration, Bergen University, Norway, May 2001.
Steven Robins (UWC, South Africa)	Workshop paper	<i>Housing and citizenship after apartheid: a view from the tip of Africa</i>	<i>Interrogating the New Political Culture in Southern Africa</i> Southern African Regional Institute of Policy Studies (SARIPS) and University of Helsinki Institute of Development Studies workshop, Harare, June 2001.
Steven Robins and Bettina von Lieres (UWC, South Africa)	Conference paper	<i>Meanings and dynamics of citizenship, participation and associational life in post-apartheid South Africa</i>	Conference of the International Society for Third-Sector Research (ISTR), Cape Town, July 2002
Vera Schattan P. Coelho (CEBRAP, Brazil)	Conference paper	<i>Social policies: what can we expect from participation?</i>	II ILAS-Cebrap Conference, São Paulo, March 2002

Vera Schattan P. Coelho, Ilza A. Andrade (CEBRAP, Brazil) and Mariana C. Montoya (IDS, UK)	Journal article	<i>Deliberative fora and the democratisation of social policies in Brazil</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
Vera Schattan P. Coelho, Ilza A. Andrade (CEBRAP, Brazil) and Mariana C. Montoya (IDS, UK)	Conference paper	<i>Deliberative fora and social policies in Brazil</i>	Annual Meeting, Brazilian Political Science Association, Niterói, July 2002
Ramya Subrahmanian (IDS, UK)	Journal article	<i>Citizenship and the 'right to education': perspectives from the Indian context</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
Rajesh Tandon (PRIA, India)	Journal article	<i>Citizenship, Participation and Accountability: A Perspective</i>	<i>Innovations in Civil Society</i> , Vol.1, No 1, July 2001
Rajesh Tandon (PRIA, India)	Journal article	<i>Linking Citizenship, Participation and Accountability: A Perspective from PRIA</i>	<i>IDS Bulletin</i> Vol. 33 No. 2, April 2002
Lisa Thompson (CSAS/SOG, UWC, South Africa)	Working paper	<i>Rights and Participation of Communities in the South in Global Environmental Discourses: Some initial conceptual and theoretical issues</i>	CSAS/SOG Working Paper series, June 2001
Bettina von Lieres (UWC, South Africa)	Seminar paper	<i>Marginalisation, Citizenship and Politics in Post-apartheid South Africa</i>	DRC CSAS/SOG Work-in Progress Seminar, Cape Town, August 2001
Bettina von Lieres (UWC, South Africa)	Seminar paper	<i>Problematising Citizenship and Participation</i>	DRC CSAS/SOG Work-in Progress Seminar, Cape Town, March 2002
John Williams (UWC, South Africa)	Journal article	<i>Human Rights and Citizenship in Post-Apartheid South Africa</i>	<i>Critical Arts: a journal of south-north cultural and media studies</i> , Vol 15 (No's 1&2), March 2002
John Williams (UWC, South Africa)	Seminar paper	<i>Conceptualizing 'inclusive citizenship' in the context of South African History prior to and post-1994 democratic elections</i>	DRC CSAS/SOG Work-in Progress Seminar, Cape Town, August 2001

This year has seen continued development of the Citizenship DRC's management and governance model, consolidating several aspects of its innovative approach to international research collaboration, characterised by an emphasis on building long-term and broadly-based partnerships.

Developing partnerships: the role of the DRC Steering Committee

The role of the DRC Steering Committee, which consists of one representative of each of the seven lead partner institutions, has continued to evolve over the course of this year. Through a series of electronic exchanges and an international meeting (held in Bangladesh in February 2002), the Director has worked with the Steering Committee to discuss and agree a number of key policies. These include transparent principles and processes for the allocation of central funding for dissemination and exchange activities (for which additional resources were approved by DFID in June 2001) and for peer review and approval of DRC publications. During this year, the Steering Committee also discussed and approved nominations to the Centre Advisory Review Group (CARG), and agreed a strategy for development of the DRC's public websites and online resources. The option chosen for this strategy, which emphasised a strong "collective identity" for the DRC (with a central site and resource centre, plus linked country programme subsites) rather than a fully decentralised model (with cross-linked country-specific sites hosted by the partners), represented an endorsement of the sense of collective ownership felt by all partners and their perception of the DRC as significantly more than the sum of its component projects. Through this process of engagement with the Steering Committee, the DRC has thus continued to evolve an architecture of governance and decision-making which balances the need for effective management and decision-making with a commitment to partnership and shared ownership.

The DRC coordination team has dedicated considerable time to engaging proactively with partners in the periods between DRC meetings, to ensure continued two-way information flow and discussion of emerging issues. The Director and/or Research Manager visited DRC partners in Bangladesh, Brazil, India, Mexico, Nigeria and South Africa between June 2001 and July 2002. The convenors of the Bangladesh, India, Nigeria and South Africa country groups all visited IDS during this period, providing valuable opportunities both for updates on their teams' progress and for discussion of the DRC's strategic direction.

Working with the DRC Administrator, the Director and Research Manager have also invested considerable effort in ensuring timely and efficient flows of financial resources and information. Specific contracts for core research work and additional dissemination and exchange activities have been signed between IDS and each DRC partner, and contract implementation monitoring systems have been put in place in an effort to minimise the delays and information gaps which inevitably occur in dealing with such a large number of partners, each of which has its own administrative culture and procedures. In order to maintain the transparency which is an essential requirement for building effective partnerships, the coordination unit has set up budget tracking systems to monitor resource allocation across the DRC's component programmes and produce reports which are shared with the Steering Committee by the Director. Given the scale and complexity of the DRC, this investment in financial management and reporting systems has proved extremely labour-intensive, and it has been necessary to allocate additional administrative support to the programme from within IDS.

VI. Challenges and Lessons

While the year has been active, with a number of positive accomplishments, it has not been without its challenges, from which we hope to learn and which we hope to address further in the future. A network as large and as diverse as the Citizenship DRC poses especially the challenge of balancing a decentralised and a centralised approach amongst a range of partners and contexts. This affects all aspects of the work.

- For the research programme, while research projects are linked together in broad clusters, they are diverse in their methodology, and oriented towards the particular interests and contexts of the researchers. While this diversity is a strength, we will face the challenges of synthesis and comparative learning if we are to maximise the advantages of an international programme. Given the broad range of researchers – from the more theoretical to the more applied, based in universities, research centres and NGOs – there is also the challenge of linking conceptual research with more direct policy engagement. If these challenges can be dealt with well, the opportunities for learning about how to link researchers and practitioners in differing institutional contexts and settings will be great.
- This diversity also poses challenges for co-ordination and collaboration at a number of levels. In every country, the research teams have grown, leading to 'sub-networks' of the DRC. However, the nature of these, and of their own co-ordinating structures, varies widely. Perhaps more attention will need to be given to how these differences affect international collaboration and programme management. The diversity has also lead to challenges at the administrative level, with far more time required than expected for the tasks of managing and tracking budgets, contracts and funding transfers across such a range of partners and projects.
- Within this diverse framework, there also have been challenges in terms of balancing flexibility, due to time pressures, personnel changes, etc., with overall accountability to pre-defined work plans. Taking up the work, and learning to work together effectively, have involved time and transaction costs both at the international level as well as within working groups and country teams. We must continue to try to find the right balance between getting the process right for building partnerships and communication, being responsive to changing needs and circumstances, and being responsible for producing timely, relevant and exciting outputs.

On balance, however, we feel that the first full year of work on the Citizenship DRC's agenda has built a strong foundation on which to move forward.